

08-06-01

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kevin CAROTHERS et al.  
Serial No.: 09/736,760  
Filing Date: December 13, 2000  
For: SYSTEM AND METHOD FOR MANAGING  
FINANCIAL TRANSACTION INFORMATION  
Examiner: To be assigned  
Art Unit: To be assigned



Assistant Commissioner of Patents  
Washington, DC 20231

Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicants submit herewith on Form PTO-1449 a listing of documents known to the Applicants and/or their attorney. Applicants respectfully request consideration of the cited documents and making the same of record in the prosecution of the above-identified application. In so doing, Applicants do not waive any rights to appropriate action to establish patentability over any of the listed documents should they be applied as references against the claims of the present application. Copies of the documents are enclosed.

This statement should not be construed as a representation that more material information does not exist or that a search of the relevant art has been made.

It is believed that no fees are due for the consideration of this Information Disclosure Statement. However, should any fees be due, the Commissioner is authorized to charge such fees to Deposit Account No. 15-1458. A duplicate of this sheet is attached for that purpose.

Respectfully submitted,

Date:

8/3/01

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## EXPRESS MAIL CERTIFICATE

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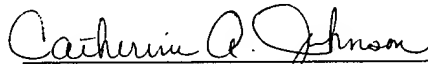
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I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, Washington, DC 20231.

  
Catherine A. Johnson